



# Light paths and edges in families of outer-1-planar graphs <sup>☆</sup>

Xin Zhang <sup>\*</sup>, Jingfen Lan, Bi Li, Qiang Zhu

School of Mathematics and Statistics, Xidian University, Xi'an 710071, PR China



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## ABSTRACT

It is proved that (1) every maximal outer-1-planar graph of order at least  $k$  contains a path on  $k$ -vertices with all vertices of degree at most  $2k + 1$  (being sharp for  $k \leq 3$ ), and a path on  $k$ -vertices with degree sum at most  $5k - 1$ , and further, (2) every maximal outer-1-planar graph contains an edge  $xy$  with  $d(x) + d(y) \leq 7$ , and every outer-1-planar graph with minimum degree at least 2 contains an edge  $xy$  with  $d(x) + d(y) \leq 9$ . Here the bounds 7 and 9 are sharp.

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## 1. Introduction

All graphs in this paper are finite and simple. By  $V(G)$ ,  $E(G)$ ,  $\delta(G)$  and  $\Delta(G)$ , we denote the vertex set, the edge set, the minimum degree and the maximum degree of a graph  $G$ , respectively. Set  $v(G) = |V(G)|$  and  $e(G) = |E(G)|$ . The *neighborhood* (resp. *degree*) of a vertex  $v$  in  $G$ , denoted by  $N_G(v)$  or  $N(v)$  (resp.  $d_G(v)$  or  $d(v)$ ), is the set (resp. number) of vertices that are adjacent to  $v$ . For a subset  $S$  of  $V(G)$ ,  $G[S]$  denotes the subgraph induced by  $S$ . For a subgraph  $H$  of  $G$ , by  $\Delta_G(H) = \max_{x \in V(H)} \{d_G(x)\}$  (resp.  $W_G(H) = \sum_{x \in V(H)} d_G(x)$ ), we denote the *maximum degree* (resp. *degree sum*) of  $H$  in  $G$ . We use  $P_k$  to denote a  $k$ -path, that is a path on  $k$ -vertices.

Let  $H$  be a connected graph and let  $\mathcal{G}$  be a family of graphs. If every graph  $G \in \mathcal{G}$  of order at least  $|V(H)|$  contains a subgraph  $K \cong H$  such that

$$\Delta_G(K) \leq t_h < +\infty \quad \text{and} \quad W_G(K) \leq t_w < +\infty, \quad (1)$$

then we say that  $H$  is *light* in  $\mathcal{G}$ , and otherwise *heavy* in  $\mathcal{G}$ . The smallest integers  $t_h$  and  $t_w$  satisfying (1) are the *height* and *weight* of  $H$  in the family  $\mathcal{G}$ , denoted by  $h(H, \mathcal{G})$  and  $w(H, \mathcal{G})$ , respectively.

The study of the lightness of certain subgraph in a given family initiates since the proposing of the well-known Four Coloring Conjecture. The first beautiful theorem on the theory of light subgraphs was contributed by Kotzig [13] in 1955, who proved that every 3-connected plane graph contains an edge of weight at most 13 (being sharp).

In general, finding light subgraphs in a given family is sometimes an essential stage when one considers some graph coloring and partition problems, since light subgraphs are possibly reducible (meaning that they cannot occur in a minimal counterexample to the desired conclusion, see [4]). Besides, the theory of light subgraphs also has many potential applications in both geometrical and combinatorial problems, see [12] for a survey.

A graph is *outerplanar* if it can be immersed into the plane so that all vertices lie on the outerface boundary. Harary [9] proved that every outerplanar graph contains a vertex  $v$  with  $d(v) \leq 2$ . Hackmann and Kemnitz [8] proved that every outerplanar graph  $G$  with minimum degree 2 contains an edge  $xy$  with  $d(x) \leq d(y) \leq 4$  and

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<sup>\*</sup> Corresponding author.

E-mail address: xzhang@xidian.edu.cn (X. Zhang).

**Table 1**

Main results of this paper.

	$h(P_k, \mathcal{OP}^*)$	$w(P_k, \mathcal{OP}^*)$	$h(P_k, \mathcal{OP}_2)$	$w(P_k, \mathcal{OP}_2)$
$k = 2$	5 [Corollary 2.8]	7 [Corollary 3.7]	7 [Corollary 3.4]	9 [Corollary 3.4]
$k = 3$	7 [Corollary 2.9]	$\leq 14$ [Theorem 2.10]		
$k \geq 4$	$\leq 2k + 1$ [Theorem 2.7]	$\leq 5k - 1$ [Theorem 2.10]		

$d(x) + d(y) \leq 6$ . Fabrici [6] showed that every 2-connected outerplanar graph  $G$  of order at least  $k \geq 3$  contains a  $k$ -path  $P_k$  with  $\Delta_G(P_k) \leq k + 3$ . All upper bounds in those conclusions are the best possible. Hence, if  $\mathcal{OP}_2$  is the family of 2-connected outerplanar graphs, then  $h(P_1, \mathcal{OP}_2) = 2$ ,  $h(P_2, \mathcal{OP}_2) = 4$  and  $h(P_k, \mathcal{OP}_2) = k + 3$  for  $k \geq 3$ . In other words,  $P_k$  with  $k \geq 1$  is light in the family of 2-connected outerplanar graphs.

A graph is *1-immersed into a plane*, or *1-planar* if it can be drawn on the plane so that each edge is crossed by at most one other edge. The notion of the 1-planarity was introduced by Ringel [14] in connection with the problem of the simultaneous coloring of vertices and faces of plane graphs in which adjacent/incident elements receive different colors. Fabrici and Madaras [7] showed that each 3-connected 1-planar graph contains an edge with both endvertices of degree at most 20, and the bound 20 is the best possible. Hudák and Šugerek [11] proved that each 1-planar graph with minimum degree at least 4 contains an edge of type (with degrees of its endvertices)  $(4, \leq 13)$  or  $(5, \leq 9)$  or  $(6, \leq 8)$  or  $(7, 7)$ . The global or local structures of 1-planar graphs and their applications to coloring problems were also studied by many authors including [7, 10, 15, 17, 18].

A graph is *outer-1-planar* if it can be 1-immersed into a plane such that all vertices are on the outer face. For example,  $K_{2,3}$  and  $K_4$  are outer-1-planar graphs. Outer-1-planar graphs were first introduced by Eggleton [5] who called them *outerplanar graphs with edge crossing number one*, and also investigated under the notion of *pseudo-outerplanar graph* by Zhang, Liu and Wu [16, 19]. They proved that every outer-1-planar graph contains a vertex of degree at most 3, and the bound is the best possible.

It is clear that the class of outer-1-planar graphs lies between the classes of 1-planar graphs and outerplanar graphs (see [1]). Given an outer-1-planar graph  $G$ , we add a new vertex adjacent to every other vertex of  $G$  and obtain a graph  $G'$ . Clearly,  $G'$  is a 1-planar graph with minimum degree one larger than that of  $G$ . Moreover, if  $G$  is 2-connected, then  $G'$  is 3-connected, and by the result of Fabrici and Madaras [7] mentioned above,  $G'$  contains an edge  $uv$  with  $\max\{d_{G'}(u), d_{G'}(v)\} \leq 20$ . If  $|G| \geq 21$ , then  $u, v \in V(G)$  and thus  $G$  contains an edge  $uv$  with  $\max\{d_G(u), d_G(v)\} \leq 19$ . This concludes that  $P_2$  is light (with height at most 19) in the family of 2-connected outer-1-planar graphs. In this paper, we generalize this result by showing that  $P_2$  is light (with height exactly 7) in the family of outer-1-planar graphs with minimum degree at least two.

A drawing of an outer-1-planar graph in the plane, so that its outer-1-planarity is preserved and the number of crossings is as few as possible, is an *outer-1-plane graph*, and we call such a drawing *good*. Let  $G$  be a 2-connected outer-1-plane graph. Denote by  $v_1, v_2, \dots, v_{|G|}$  the vertices

of  $G$  lying clockwise on the outer boundary. Let  $\mathcal{V}[v_i, v_j] = \{v_i, v_{i+1}, \dots, v_j\}$  and let  $\mathcal{V}(v_i, v_j) = \mathcal{V}[v_i, v_j] \setminus \{v_i, v_j\}$ , where the subscripts are taken modulo  $|G|$ . Set  $\mathcal{V}[v_i, v_i] = V(G)$ . An edge  $v_i v_j$  in  $G$  is a *chord* if  $j - i \neq 1$  (mod  $|G|$ ). By  $\mathcal{C}[v_i, v_j]$ , we denote the set of chords  $xy$  with  $x, y \in \mathcal{V}[v_i, v_j]$ .

An outer-1-planar graph  $G$  is *maximal* if adding any edge would disturb its outer-1-planarity. The structures and colorings of maximal outer-1-planar graphs were studied in many papers including [2, 16]. By  $\mathcal{OP}^*$  and  $\mathcal{OP}_2$ , we denote the family of maximal outer-1-planar graphs and the family of outer-1-planar graphs with minimum degree at least 2, respectively.

In this paper, we investigate the lightness of  $P_k$  in  $\mathcal{OP}^*$  and  $\mathcal{OP}_2$ , and the main results are listed in Table 1.

## 2. Light paths in maximal outer-1-planar graphs

Zhang, Liu and Wu [16] proved that the vertex connectivity of any outer-1-planar graph besides  $K_4$  is at most 2. It is known that every 2-connected outerplanar graph is hamiltonian [3], and Zhang, Liu and Wu [16] pointed out that this fact does not hold for 2-connected outer-1-planar graphs (e.g.  $K_{2,3}$  is 2-connected and non-hamiltonian). For this reason, we consider maximal outer-1-planar graphs below.

**Lemma 2.1.** *Every maximal outer-1-planar graph of order at least 3 is 2-connected.*

**Proof.** Let  $G$  be a maximal outer-1-planar  $G$  with blocks  $G_1, G_2, \dots, G_t$ . If  $t = 1$ , then the conclusion is trivial. If  $t \geq 2$ , then choose an end-block (i.e., a block incident with only one cut-vertex)  $G_i$  that is incident with a block  $G_j$ . Clearly,  $G_i$  and  $G_j$  have exactly one common vertex, say  $v_1$ .

Let  $v_1, v_2, \dots, v_{v(G_i)}$  be vertices of  $G_i$  in a clockwise ordering on the outer boundary of a good drawing of  $G_i$  and let  $v_1, u_2, \dots, u_{v(G_j)}$  be vertices of  $G_j$  in an anticlockwise ordering on the outer boundary of a good drawing of  $G_j$ . It is easy to check that the graph obtained from  $G$  by adding an edge  $u_2 v_2$  is still outer-1-planar, which contradicts the fact that  $G$  is maximal.  $\square$

**Lemma 2.2.** *Every maximal outer-1-planar graph with order at least 3 is hamiltonian.*

**Proof.** Let  $v_1, v_2, \dots, v_{|G|}$  be vertices lying in a cyclic ordering on the outer boundary of an outer-1-planar drawing of  $G$ . Since  $G$  is maximal,  $v_1 v_2 \dots v_{|G|} v_1$  forms a cycle  $C$ . Clearly,  $C$  is a hamiltonian cycle of  $G$ .  $\square$

**Lemma 2.3.** [16] Every hamiltonian outer-1-planar graph  $G$  can be 1-immersed in the plane so that the hamiltonian cycle in  $G$  is the outer boundary.

**Lemma 2.4.** [16] Every outer-1-planar graph with minimum degree at least two contains at least two vertices of degree at most 3.

**Lemma 2.5.** Every outer-1-planar graph of order at least two contains at least two vertices of degree at most 3.

**Proof.** Let  $G$  be an outer-1-planar graph. If  $G$  is not connected, then it is enough to consider one component of  $G$ , and if  $\delta(G) \geq 2$ , then the conclusion holds by Lemma 2.4. Therefore, we assume that  $G$  is connected and  $\delta(G) = 1$ . Let  $xy$  be an edge with  $d_G(x) = 1$ . If  $G$  has two vertices of degree 1 or  $d_{G-x}(y) = 1$ , then we are done. Therefore, we assume that  $G - x$  is an outer-1-planar graph with minimum degree at least two, so it contains a vertex  $z \neq y$  with  $d_{G-x}(z) = d_G(z) \leq 3$  by Lemma 2.4. Hence  $G$  contains two vertices  $x$  and  $z$  of degree at most 3.  $\square$

**Lemma 2.6.** [1] If  $G$  is an outer-1-planar graph, then  $e(G) \leq \frac{5}{2}v(G) - 4$ .

**Theorem 2.7.** Every maximal outer-1-planar graph  $G$  of order at least  $k$  contains a  $k$ -path  $P_k$  with  $\Delta_G(P_k) \leq 2k + 1$ . In other words,  $h(P_k, \mathcal{O}1\mathcal{P}^*) \leq 2k + 1$

**Proof.** If  $k = 1$ , then the conclusion holds since every outer-1-planar graph contains a vertex of degree at most 3. Hence we assume  $k \geq 2$  in the following arguments.

Suppose, to the contrary, that every  $k$ -path in  $G$  contains a vertex of degree at least  $2k + 2$ , saying a big vertex. Vertices of degree at most  $2k + 1$  in  $G$  are small vertices. Let  $v_1, v_2, \dots, v_{|G|}$  be vertices of  $G$  lying clockwise on the outer boundary of  $G$ . Since  $G$  is maximal,  $v_1v_2 \dots v_{|G|}v_1$  forms a hamiltonian cycle  $C$ .

Let  $v_{i_1}, \dots, v_{i_t}$  be the big vertices of  $G$  in a clockwise ordering on the outer boundary. They split  $C$  into at most  $t$  paths, and those paths contain only small vertices, so each of them contains at most  $k - 1$  vertices. First we have  $t \geq 2$ , because otherwise  $|G| = k$  and  $d_G(v_{i_1}) \leq k - 1 < 2k + 2$ , a contradiction.

Let

$$B_s = \{v_{i_1}, \dots, v_{i_s}\}$$

with  $s \in [t] = \{1, 2, \dots, t\}$  and let

$$S = \left\{ xy \in E(G) \mid x = v_{i_s}, y \notin \mathcal{V}[v_{i_{s-1}}, v_{i_{s+1}}] \cup B_t, s \in [t] \right\}.$$

Thus,  $S$  is a set of edges that are incident with a big vertex  $v_{i_s}$  for some  $s \in [t]$  and a small vertex not belonging to  $\mathcal{V}(v_{i_{s-1}}, v_{i_{s+1}})$ .

**Case 1.**  $S \neq \emptyset$ .

Choose an edge (indeed, a chord)  $xy \in S$  with big vertex  $x = v_{i_s}$  and small vertex  $y \in \mathcal{V}(v_{i_{r-1}}, v_{i_r})$  so that the

boundary distance between  $x$  and  $y$ , which is the distance between  $x$  and  $y$  on  $C$ , is as small as possible.

Without loss of generality, assume that  $x = v_{i_1}$ , and that the boundary distance between  $x$  and  $y$  is exactly  $|\mathcal{V}[x, y]| - 1$ . We then have  $r \geq 3$  by the definition of  $S$ .

**Claim 1.**  $r \geq 6$ , and exactly  $r - 3$  vertices among  $v_{i_2}, \dots, v_{i_{r-1}}$  have degrees at least 4 in the graph induced by  $B_{r-1}$ .

**Proof.** Since a big vertex  $v_{i_s}$  with  $2 \leq s \leq r - 1$  is adjacent to at most  $2(k - 1)$  small vertices in  $\mathcal{V}[x, y]$  by the choice of  $xy$  and at most one vertex in  $\mathcal{V}(y, x)$ ,  $v_{i_s}$  is adjacent to at least  $(2k + 2) - (2k - 2) - 1 = 3$  vertices in the graph induced by  $B_{r-1}$ . Moreover, if  $v_{i_s}$  has exactly three neighbors in the graph induced by  $B_{r-1}$ , then  $xy$  is crossed by an edge incident with  $v_{i_s}$ . Since  $xy$  can be crossed at most once, among  $v_{i_2}, \dots, v_{i_{r-1}}$ , there is at most one vertex having degree 3 in  $G[B_{r-1}]$ , and at least  $r - 3$  vertices having degrees at least 4 in  $G[B_{r-1}]$ . Since  $G[B_{r-1}]$  has  $r - 1$  vertices and its minimum (resp. maximum) degree is at least 3 (resp. 4),  $r \geq 6$ . On the other hand, if all vertices among  $v_{i_2}, \dots, v_{i_{r-1}}$  have degrees at least 4 in  $G[B_{r-1}]$ , then  $G[B_{r-1}]$  has at most one vertex of degree at most 3, which is impossible by Lemma 2.5. Therefore, exactly  $r - 3$  vertices among  $v_{i_2}, \dots, v_{i_{r-1}}$  have degrees at least 4 in the graph induced by  $B_{r-1}$ .  $\square$

**Claim 2.** If  $z$  is a small vertex in  $\mathcal{V}(x, y)$ , then  $z$  has no neighbor in  $\mathcal{V}(y, x)$ .

**Proof.** If this does not hold, then  $xy$  is crossed by an edge incident with  $z$ , and thus cannot be crossed by an edge incident with some vertex among  $v_{i_2}, \dots, v_{i_{r-1}}$ . This implies that all vertices among  $v_{i_2}, \dots, v_{i_{r-1}}$  have degrees at least 4 in  $G[B_{r-1}]$  by the same argument as the one in the proof of Claim 1. However, this contradicts Claim 1.  $\square$

**Claim 3.** If  $z_1, z_2$  are two small vertices in  $\mathcal{V}[x, y]$ , then  $z_1z_2 \in E(G)$  only if  $z_1, z_2 \in \mathcal{V}(v_{i_a}, v_{i_{a+1}})$  for some  $1 \leq a \leq r - 1$ .

**Proof.** Suppose that  $z_1z_2 \in E(G)$ ,  $z_1 \in \mathcal{V}[v_{i_a}, v_{i_{a+1}}]$  and  $z_2 \in \mathcal{V}[v_{i_b}, v_{i_{b+1}}]$ , where  $1 \leq a < b \leq r - 1$ . Let  $B' = \{v_{i_{a+1}}, \dots, v_{i_b}\}$ . Since  $z_1z_2 \in E(G)$  and  $z_1, z_2 \in \mathcal{V}[x, y]$ ,  $xy$  cannot be crossed by an edge incident with  $B'$ . Since a big vertex  $v_{i_s}$  with  $a + 1 \leq s \leq b$  is adjacent to at most  $2(k - 1)$  small vertices in  $\mathcal{V}[x, y]$  by the choice of  $xy$ , and adjacent to no vertex in  $\mathcal{V}(y, x)$ ,  $v_{i_s}$  is adjacent to at least  $(2k + 2) - (2k - 2) = 4$  vertices in the graph induced by  $B'$ . This implies  $|B'| \geq 5$  and  $\delta(G[B']) \geq 4$ . However,  $G[B']$  is an outer-1-planar graph with minimum degree at most 3 by Lemma 2.5. This is a contradiction.  $\square$

**Claim 4.** For any small vertex  $z \in \mathcal{V}[x, y] \setminus \{y\}$ , if  $z \in \mathcal{V}[v_{i_a}, v_{i_{a+1}}]$  for some  $1 \leq a \leq r - 1$ , then  $N_G(z) \subseteq \mathcal{V}[v_{i_a}, v_{i_{a+1}}]$ .

**Proof.** By Claim 2 and by the choice of  $xy$ , if  $z$  is adjacent to a big vertex in  $G$ , then this big vertex is either  $v_{i_a}$  or  $v_{i_{a+1}}$ . By Claims 2 and 3, if  $z$  is adjacent to a small vertex in  $G$ , then this small vertex belongs to  $\mathcal{V}(v_{i_a}, v_{i_{a+1}})$ .  $\square$

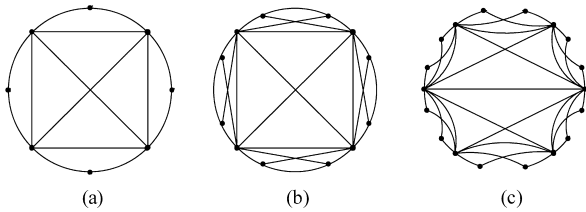


Fig. 1. Extremal outer-1-planar graphs.

Since  $G$  is maximal,  $v_{i_1}v_{i_2} \in E(G)$  by Claim 4. If  $v_{i_1}v_{i_3} \in E(G)$ , then  $v_{i_2}$  has degree at most  $2(k-1) + 3 = 2k + 1$  in  $G$ , a contradiction. Hence  $v_{i_1}v_{i_3} \notin E(G)$ .

If  $xy$  is crossed by an edge incident with  $v_{i_2}$ , then by the proof of Claim 1,  $v_{i_2}$  has degree 3 in  $G[B_{r-1}]$  and all vertices among  $v_{i_3}, \dots, v_{i_{r-1}}$  have degrees at least 4 in  $G[B_{r-1}]$ . Clearly,  $v_{i_2}$  is the unique neighbor of  $v_{i_1}$  in  $G[B_{r-1}]$ . Therefore, the outer-1-planar graph derived from  $G[B_{r-1}]$  by deleting  $v_{i_1}$  has exactly one vertex of degree at most 3 in that graph, contradicting Lemma 2.5.

In what follows, we assume, without loss of generality, that  $xy$  is not crossed by an edge incident with  $v_{i_2}$ .

Since  $G$  is maximal and  $v_{i_1}v_{i_3} \notin E(G)$ , there is an edge  $v_{i_2}v_{i_a}$  for some  $4 \leq a \leq r-1$  by Claim 4 and by the choice of  $xy$  (otherwise we can add a new edge  $v_{i_1}v_{i_3}$  to  $G$  so that the resulting graph is still outer-1-planar).

First, suppose that there is no edge in the form  $v_{i_b}v_{i_c}$  with  $|c-b| \neq 1$ , besides  $v_{i_2}v_{i_a}$ , in the graph induced by  $\{v_{i_2}, \dots, v_{i_a}\}$ . Under this assumption, for any vertex  $v_{i_b}$  with  $3 \leq b \leq a-1$ , it is adjacent to at most  $2(k-1)$  small vertices in  $G$  by the choice of  $xy$ , at most two big vertices in  $\{v_{i_2}, \dots, v_{i_a}\}$ , and at most one vertex in  $\mathcal{V}(v_{i_a}, v_{i_2})$ , which implies that the degree of  $v_{i_b}$  in  $G$  is at most  $2k+1$ , a contradiction.

At last, we assume that  $v_{i_b}v_{i_c}$  with  $|c-b| \neq 1$  is an edge in the graph induced by  $\{v_{i_2}, \dots, v_{i_a}\}$  so that  $|c-b|$  is minimum. Without loss of generality, assume that  $b < c$ . By similar argument as the one in the previous paragraph, one can conclude that any vertex  $v_{i_s}$  with  $b < s < c$  has degree at most  $2k+1$  in  $G$ , a contradiction.

Case 2.  $S = \emptyset$ .

Since the graph induced by  $B_r$  is an outer-1-planar graph, it has a vertex, say  $v_{i_s}$ , of degree at most 3 in  $G[B_r]$  by Lemma 2.5. Since  $S = \emptyset$ ,  $v_{i_s}$  is adjacent to at most  $2(k-1)$  small vertices in  $G$ . Therefore, the degree of  $v_{i_s}$  in  $G$  is at most  $2k+1$ , a contradiction.  $\square$

**Corollary 2.8.** Every maximal outer-1-planar graph  $G$  of order at least 2 contains an edge  $uv$  with  $\max\{d_G(u), d_G(v)\} \leq 5$ , and the bound 5 is the best possible. In other words,  $h(P_2, \mathcal{O}1\mathcal{P}^*) = 5$ .

**Proof.** The existence of such an edge  $uv$  is guaranteed by Theorem 2.7. The sharpness of the upper bound 5 is implied by picture (a) of Fig. 1, where each edge contains at least one vertex of degree 5.  $\square$

**Corollary 2.9.** Every maximal outer-1-planar graph  $G$  of order at least 3 contains a path  $uvw$  with  $\max\{d_G(u), d_G(v), d_G(w)\} \leq 7$ , and the bound 7 is the best possible. In other words,  $h(P_3, \mathcal{O}1\mathcal{P}^*) = 7$ .

**Proof.** The existence of such a path  $uvw$  is guaranteed by Theorem 2.7. The sharpness of the upper bound 7 is implied by picture (b) of Fig. 1, where each edge contains at least one vertex of degree 7.  $\square$

**Theorem 2.10.** Every maximal outer-1-planar graph  $G$  of order at least  $k$  contains a  $k$ -path  $P_k$  with  $W_G(P_k) \leq 5k - 1$ . In other words,  $w(P_k, \mathcal{O}1\mathcal{P}^*) \leq 5k + 1$ .

**Proof.** Assume that  $G$  is drawn in the plane so that  $x_1, x_2, \dots, x_{|V(G)|}$  are vertices lying cyclicly on the outer boundary of  $G$ . Since  $G$  is maximal,  $x_1x_2 \dots x_{|V(G)|}x_1$  is a cycle. For  $i = 1, \dots, v(G)$ , let  $Q_i$  be the  $k$ -path  $x_i x_{i+1} \dots x_{i+k-1}$  (indices modulo  $v(G)$ ). Since  $e(G) \leq \frac{5}{2}v(G) - 4$  by Lemma 2.6, we have

$$\begin{aligned} \frac{1}{v(G)} \sum_{i=1}^{v(G)} W_G(Q_i) &= \frac{k}{v(G)} \sum_{x \in V(G)} d_G(x) = \frac{k}{v(G)} 2e(G) \\ &\leq 5k - \frac{8}{v(G)} < 5k, \end{aligned}$$

which implies that there is a  $k$ -path  $Q_j$  for some  $j \in \{1, \dots, v(G)\}$  with  $W_G(Q_j) \leq 5k - 1$ .  $\square$

### 3. Light edges in outer-1-planar graphs

**Lemma 3.1.** Let  $v_1v_2 \dots v_n$  be an  $n$ -path with  $n \geq 4$ . If there is no pair of chords  $v_i v_j$  and  $v_k v_l$  with  $1 \leq i < k < j < l \leq n$ , then among  $v_2, \dots, v_{n-1}$ , there is a 2-valent vertex adjacent to a vertex of degree at most 4 in the graph induced by  $v_1, v_2, \dots, v_n$ .

**Proof.** For any two vertices  $v_i$  and  $v_j$  with  $i < j$ . Denote by  $G_{ij}$  the graph induced by  $\{v_i, v_{i+1}, \dots, v_j\}$ . For simplify, let  $G = G_{1n}$ .

If  $n = 4$ , then the required result is trivial since  $4 \leq d_G(v_2) + d_G(v_3) \leq 5$ . Therefore, we assume that it holds for any  $n'$ -path with  $4 \leq n' < n$ , and then prove it for  $n$ -path by induction.

Let  $v_i v_j$  be a chord with  $j - i \geq 2$ . If  $j - i \geq 3$ , then by the induction hypothesis, there are two adjacent vertices  $v_a$  and  $v_b$  with  $i + 1 \leq a, b \leq j - 1$  so that  $d_{G_{ij}}(v_a) = 2$  and  $d_{G_{ij}}(v_b) \leq 4$ . Since  $v_i v_j$  is non-crossed,  $d_G(v_a) = d_{G_{ij}}(v_a) = 2$  and  $d_G(v_b) = d_{G_{ij}}(v_b) \leq 4$ . Now we find a 2-valent vertex  $v_a$  adjacent to a vertex  $v_b$  of degree at most 4 in  $G$ . Therefore, for any chord  $v_i v_j$  with  $i < j$ , we have  $j - i = 2$ , and moreover,  $d_G(v_{i+1}) = 2$ .

Suppose that  $v_i$  is adjacent to  $v_j$  with  $j - i > 1$  only if  $i = 1$  and  $j = n$ . Clearly,  $v_2$  and  $v_3$  are two adjacent 2-valent vertices, and we complete the proof. Therefore, we assume that there is a chord  $v_i v_j$  with  $j - i > 1$  so that either  $i \neq 1$  or  $j \neq n$ . Without loss of generality, assume that  $j \neq n$ . If  $d_G(v_j) \leq 4$ , then we are done. If  $d_G(v_j) \geq 5$ , then there exists a chord  $v_j v_s$  with  $|s - j| \geq 3$ , which is impossible by the conclusion of the above paragraph.  $\square$



**Definition 1.** Let  $G$  be a 2-connected outer-1-plane graph with vertices  $v_1, v_2, \dots, v_{|G|}$  lying clockwise on its outer boundary. If  $v_i v_j$  crosses  $v_k v_l$  with  $l - j = j - k = k - i = 1 \pmod{|G|}$ ,  $v_i v_k, v_j v_l \in E(G)$  and  $v_k v_l \notin E(G)$ , then we say that  $v_i v_j$  **co-crosses**  $v_k v_l$ .

**Lemma 3.2.** Let  $G$  be a 2-connected (resp. maximal) outer-1-plane graph with vertices  $v_1, v_2, \dots, v_n$  lying clockwise on its outer boundary, where  $n = |G| \geq 5$ . Among  $v_2, \dots, v_{n-1}$ , there is a 2-valent vertex adjacent to a vertex of degree at most 7 (resp. 5), or a 3-valent vertex adjacent to another one 3-valent vertex in  $G$ .

**Proof.** Let  $v_i$  and  $v_j$  be two vertices with  $i < j$ . Denote by  $G_{ij}$  the graph induced by  $\mathcal{V}[v_i, v_j]$ . Note that in the following arguments we do not distinguish whether  $G$  is 2-connected or maximal, unless we state specially.

If  $n = 5$ , then there is a pair of chords  $v_i v_j$  and  $v_k v_l$  with  $1 \leq i < k < j < l \leq 5$ , because otherwise  $v_1 v_2 v_3 v_4 v_5$  is a path, and then by Lemma 3.1 we will find, among  $v_2, v_3$  and  $v_4$ , a 2-valent vertex adjacent to a vertex of degree at most 4. Since  $G$  is 2-connected or maximal,  $\delta(G) \geq 2$ . By symmetry, we consider three cases. First, if  $v_1 v_3$  crosses  $v_2 v_4$ , then either  $v_2 v_3 \in E(G)$  and  $d_G(v_2) + d_G(v_3) \leq 6$ , or  $v_2 v_3 \notin E(G)$  and  $v_1 v_2, v_3 v_4 \in E(G)$ , which implies that  $d_G(v_3) = 2$  and  $d_G(v_4) \leq 4$ . Second, if  $v_1 v_3$  crosses  $v_2 v_5$ , then either  $v_2 v_3 \in E(G)$  and  $d_G(v_2) + d_G(v_3) \leq 6$ , or  $v_2 v_3 \in E(G)$  and  $d_G(v_2) + d_G(v_3) = 7$ , which implies that  $v_3 v_4 \in E(G)$ ,  $d_G(v_3) \leq 4$  and  $d_G(v_4) = 2$ , or  $v_2 v_3 \notin E(G)$ , which implies that  $v_3 v_4 \in E(G)$ ,  $d_G(v_3) \leq 3$  and  $d_G(v_4) = 2$ . Third, if  $v_1 v_4$  crosses  $v_2 v_5$ , then  $d_G(v_3) = 2$ , and  $v_3$  is adjacent to either  $v_2$  or  $v_4$ , any of which has degree at most 4 in  $G$ .

In what follows, we prove the conclusion by induction on  $n$ , assuming that it holds for any 2-connected (resp. maximal) outer-1-plane graph with order less than  $n$ .

**Claim 1.** If  $v_i v_j$  is a chord crossed by  $v_k v_l$  with  $i < k < j < l$ , then we can find two adjacent vertices among  $\mathcal{V}(v_i, v_l)$  that satisfy the conclusion of Lemma 3.2, unless  $v_i v_j$  co-crosses  $v_k v_l$ .

**Proof.** If  $k - i \geq 4$ , then by the induction hypothesis, there are two adjacent vertices  $v_a, v_b \in \mathcal{V}[v_{i+1}, v_{k-1}]$  so that  $d_{G_{ik}}(v_a) = d_{G_{ik}}(v_b) = 3$ , or  $d_{G_{ik}}(v_a) = 2$  and  $d_{G_{ik}}(v_b) \leq 7$  ( $d_{G_{ik}}(v_b) \leq 5$  if  $G$  is maximal). Since there is no edge between  $\mathcal{V}(v_i, v_k)$  and  $\mathcal{V}(v_k, v_i)$  by the outer-1-planarity of  $G$ ,  $d_G(u) = d_{G_{ik}}(u)$  for any vertex  $u \in \mathcal{V}(v_i, v_k)$ . Hence  $v_a$  and  $v_b$  are the two required vertices in  $G$ . Therefore,  $k - i \leq 3$ , and similarly, we have  $j - k \leq 3$  and  $l - j \leq 3$ .

If  $j - k = 3$ , then  $v_k v_{j-1}$  crosses  $v_{k+1} v_j$  (otherwise  $d_G(v_{k+1}) + d_G(v_{j-1}) \leq 5$  and  $v_{k+1} v_{j-1} \in E(G)$ , so we finish the proof). If  $v_{k+1} v_{j-1} \in E(G)$ , then  $d_G(v_{k+1}) = d_G(v_{j-1}) = 3$  and we complete the proof. Hence we assume that  $v_{k+1} v_{j-1} \notin E(G)$  and thus  $G$  is not maximal. Now we have that  $d_G(v_{j-1}) = 2$ . Since  $l - j \leq 3$ , we have  $d_G(v_j) \leq 7$ , and thus  $v_{j-1}$  and  $v_j$  are the required vertices. Hence  $j - k \leq 2$ .

If  $k - i = 2$ , then  $d_G(v_{k-1}) = 2$ . Since  $j - k \leq 2$ ,  $d_G(v_k) \leq 5$  and thus  $v_{k-1}, v_k$  are the required vertices. Hence  $k - i \neq 2$ , and by symmetry,  $l - j \neq 2$ .

If  $j - k = 2$ , then  $d_G(v_{j-1}) = 2$ . If  $l - j = 1$ , then  $d_G(v_j) \leq 4$ , and  $v_{j-1}, v_j$  are the required vertices. If  $l - j = 3$ , then  $v_j v_{l-1}$  crosses  $v_{j+1} v_l$  (otherwise  $d_G(v_{j+1}) + d_G(v_{l-1}) \leq 5$  and  $v_{j+1} v_{l-1} \in E(G)$ , we complete the proof). If  $G$  is maximal, then  $d_G(v_{j+1}) = d_G(v_{l-1}) = 3$  and  $v_{j+1} v_{l-1} \in E(G)$ , so  $v_{j+1}$  and  $v_{l-1}$  are the required vertices. If  $G$  is not maximal but is 2-connected, then  $d_G(v_j) \leq 6$ , so  $v_{j-1}$  and  $v_j$  are the required vertices. Hence  $j - k \neq 2$  and thus

$$j - k = 1.$$

Suppose that  $k - i = 1$ . If  $l - j = 1$ , then either  $v_i v_j$  co-crosses  $v_k v_l$ , or  $v_k$  and  $v_j$  are two adjacent 3-valent vertices, and we are done. If  $l - j \neq 1$ , then  $l - j = 3$ , and moreover,  $v_j v_{l-1}$  crosses  $v_{j+1} v_l$  (otherwise  $d_G(v_{j+1}) + d_G(v_{l-1}) \leq 5$  and  $v_{j+1} v_{l-1} \in E(G)$ , we complete the proof). If  $v_{j+1} v_{l-1} \in E(G)$ , then  $d_G(v_{j+1}) = d_G(v_{l-1}) = 3$ , and  $v_{j+1}, v_{l-1}$  are the required vertices. If  $v_{j+1} v_{l-1} \notin E(G)$ , then  $v_j v_{j+1} \in E(G)$ ,  $d_G(v_j) \leq 5$  and  $d_G(v_{j+1}) = 2$ , so  $v_j$  and  $v_{j+1}$  are the required vertices. Hence  $k - i \neq 1$ , and by symmetry,  $l - j \neq 1$ . This implies that

$$l - j = k - i = 3.$$

Since  $k - i = 3$ ,  $v_i v_{k-1}$  crosses  $v_{i+1} v_k$  (otherwise  $d_G(v_{i+1}) + d_G(v_{k-1}) \leq 5$  and  $v_{i+1} v_{k-1} \in E(G)$ , we are done). If  $v_{i+1} v_{k-1} \in E(G)$ , then  $d_G(v_{i+1}) = d_G(v_{k-1}) = 3$ , and  $v_{i+1}, v_{k-1}$  are the required vertices. If  $v_{i+1} v_{k-1} \notin E(G)$ , then  $v_{k-1} v_k \in E(G)$ ,  $d_G(v_{k-1}) = 2$  and  $d_G(v_k) \leq 5$ , so  $v_{k-1}$  and  $v_k$  are the required vertices.  $\square$

**Claim 2.** If  $v_i v_j$  is a non-crossed chord with  $j - i \geq 4$ , then there are two adjacent vertices in  $\mathcal{V}(v_i, v_j)$  that satisfy the conclusion of Lemma 3.2.

**Proof.** Since  $j - i \geq 4$ ,  $|\mathcal{V}[v_i, v_j]| \geq 5$ . By the induction hypothesis, there are two adjacent vertices  $v_a, v_b \in \mathcal{V}(v_i, v_j)$  so that  $d_{G_{ij}}(v_a) = d_{G_{ij}}(v_b) = 3$ , or  $d_{G_{ij}}(v_a) = 2$  and  $d_{G_{ij}}(v_b) \leq 7$  ( $d_{G_{ik}}(v_b) \leq 5$  if  $G$  is maximal). Since  $v_i v_j$  is non-crossed,  $d_G(v_a) = d_{G_{ij}}(v_a)$  and  $d_G(v_b) = d_{G_{ij}}(v_b)$ . Therefore,  $v_a$  and  $v_b$  are the two adjacent vertices in  $\mathcal{V}(v_i, v_j)$  that satisfy the conclusion.  $\square$

If no crossing exists in  $G$ , then by Lemma 3.1, we can find a 2-valent vertex adjacent to a vertex of degree at most 4 among  $v_2, \dots, v_{n-1}$ . Hence we assume that  $G$  contains at least one crossing.

Suppose that  $v_i v_j$  co-crosses  $v_k v_l$  with  $i < k < j < l = i + 4$  by Claim 1. Clearly, since  $v_k v_j \notin E(G)$  (otherwise  $v_k$  and  $v_l$  are two adjacent 3-valent vertices in  $G$ , and the proof is completed),  $G$  is not maximal. Since  $n \geq 5$ , either  $i \neq 1$  or  $l \neq n$ . Without loss of generality, assume that  $l \neq n$ . Since  $d_G(v_k) = d_G(v_j) = 2$ ,  $d_G(v_i) \geq 8$  and  $d_G(v_l) \geq 8$ , because otherwise we can find the desired two vertices. This implies either a chord  $v_l v_s$  with  $l < s$ , or a chord  $v_t v_i$  with  $t < i$ . By symmetry, we assume that the chord  $v_l v_s$  with  $l < s$  exists.

If  $v_l v_s$  is crossed by a chord  $v_a v_b$  with  $l < a < s$ , then by Claim 1,  $v_l v_s$  co-crosses  $v_a v_b$ . This implies that

$b - s = 1$  and  $d_G(v_a) = d_G(v_s) = 2$ . Since  $d_G(v_l) \geq 8$ , there is a chord  $v_l v_r$  with  $b < r$ , or a chord  $v_l v_t$  with  $t < i$ . By symmetry, we assume that the chord  $v_l v_r$  with  $b < r$  exists. By Claim 1,  $v_l v_r$  is non-crossed. By Claim 2, there are two adjacent vertices  $v_\alpha, v_\beta \in \mathcal{V}(v_l, v_r)$  that satisfy the conclusion.

On the other hand, suppose that  $v_l v_s$  is non-crossed. If  $s - l \geq 4$ , then by Claim 2, one can find two adjacent vertices  $v_\alpha, v_\beta \in \mathcal{V}(v_l, v_s)$  that satisfy the conclusion. If  $s - l = 3$ , then  $v_l v_{s-1}$  co-crosses  $v_{l+1} v_s$  (otherwise  $v_{l+1} v_{s-1} \in E(G)$  and  $d_G(v_{l+1}) + d_G(v_{s-1}) \leq 6$ , we complete the proof), and we meet the same condition as the one appearing in the previous paragraph. Hence we lastly consider the case when  $s - l = 2$ , which implies that  $d_G(v_{l+1}) = 2$ .

Since  $d_G(v_l) \geq 8$ , there is a chord  $v_l v_t$  with  $t < i$  or  $t > s$ . If  $t < i$ , then  $v_l v_t$  is non-crossed by Claim 1, so one can find two adjacent vertices  $v_\alpha, v_\beta \in \mathcal{V}(v_t, v_l)$  that satisfy the conclusion by Claim 2. Therefore,  $t > s$ . By Claim 1,  $v_l v_t$  is non-crossed. If  $t - l \geq 4$ , then one can find two adjacent vertices  $v_\alpha, v_\beta \in \mathcal{V}(v_l, v_t)$  that satisfy the conclusion by Claim 2. If  $t - l = 3$ , then  $d_G(v_s) = 3$ ,  $d_G(v_{s-1}) = 2$  and  $v_{s-1} v_s \in E(G)$ . Hence  $v_{s-1}$  and  $v_s$  are the required vertices.  $\square$

**Theorem 3.3.** *Every outer-1-planar graph with minimum degree at least two contains an edge  $xy$  with  $d(x) = d(y) = 3$ , or  $d(x) = 2$  and  $d(y) \leq 7$ .*

**Proof.** Let  $B$  be an end-block of  $G$  with vertices  $v_1, v_2, \dots, v_{|B|}$  lying consecutively on the outer boundary of a good drawing of  $B$ . Without loss of generality, let  $v_1$  be the unique cut-vertex on  $B$ . Since  $\delta(G) \geq 2$ ,  $|B| \geq 3$ .

If  $|B| \geq 5$ , then by Lemma 3.2, there are two adjacent vertices  $v_i$  and  $v_j$  among  $v_2, v_3, \dots, v_{|B|-1}$  so that  $d_B(v_i) = d_B(v_j) = 3$ , or  $d_B(v_i) = 2$  and  $d_B(v_j) \leq 7$ . Since  $d_G(v_i) = d_B(v_i)$  and  $d_G(v_j) = d_B(v_j)$ , we complete the proof by letting  $x := v_i$  and  $y := v_j$ .

If  $|B| = 4$ , then either  $v_2 v_3 \in E(G)$  and  $d_G(v_2) + d_G(v_3) \leq 6$ , or  $v_3 v_4 \in E(G)$  and  $d_G(v_3) + d_G(v_4) \leq 6$ , so we let  $\{x, y\} := \{v_2, v_3\}$ , or let  $\{x, y\} := \{v_3, v_4\}$ , respectively.

If  $|B| = 3$ , then  $v_2 v_3 \in E(G)$  and  $d_G(v_2) = d_G(v_3) = 2$ . Hence we complete the proof by letting  $x := v_2$  and  $y := v_3$ .  $\square$

**Corollary 3.4.** *Every outer-1-planar graph with minimum degree at least 2 contains an edge  $xy$  with  $d(x) + d(y) \leq 9$  and  $\max\{d(x), d(y)\} \leq 7$ , and the upper bounds 9 and 7 are the best possible. In other words,  $w(P_2, \mathcal{O}1\mathcal{P}_2) = 9$  and  $h(P_2, \mathcal{O}1\mathcal{P}_2) = 7$ .*

**Proof.** Theorem 3.3 directly implies this result, and the sharpness of the two upper bounds can be confirmed by picture (c) of Fig. 1, where the weight of each edge is at least 9 and each edge contains a vertex of degree at least 7.  $\square$

**Corollary 3.5.** *If  $G$  is an outer-1-planar graph of order at least 2 so that the distance of any two 1-valent vertices is at least 3, then  $G$  contains an edge  $xy$  with  $d(x) + d(y) \leq 9$ , moreover, the upper bound 9 is the best possible.*

**Proof.** If  $\delta(G) \geq 2$ , then Theorem 3.3 implies this result. Hence we assume that  $G$  is a minimal (in terms of the order) counterexample to this with  $\delta(G) = 1$ . Let  $uv$  be an edge with  $d_G(u) = 1$ . If  $d_G(v) \leq 2$ , then we complete the proof, so we assume that  $d_G(v) \geq 3$ .

By the minimality of  $G$ ,  $H := G - u$  contains an edge  $xy$  with  $d_H(x) + d_H(y) \leq 9$ . If none of  $x$  and  $y$  is the vertex  $v$ , then  $d_G(x) + d_G(y) = d_H(x) + d_H(y) \leq 9$ , a contradiction. If  $x$  or  $y$ , say  $x$ , is  $v$ , then consider two cases. First, if  $d_H(x) \leq 7$ , then  $d_G(x) = d_H(x) + 1 \leq 8$  and  $d_G(x) + d_G(y) \leq 9$ , a contradiction. Second, if  $d_H(x) \geq 8$ , then  $d_H(y) = 1$  and thus  $d_G(y) = 1$ , which is impossible since the distance of the two 1-valent vertices  $u$  and  $y$  is exactly 2.

The sharpness of the upper bound 9 can be confirmed by picture (c) of Fig. 1.  $\square$

Note that the assumptions on the minimum degree and on the distance of two 1-valent vertices in Corollaries 3.4 and 3.5 cannot be removed, since the star  $K_{1,n}$  is an outer-1-planar graph with the degree sum of any edge being  $n + 1$ .

**Theorem 3.6.** *Every maximal outer-1-planar graph contains an edge  $xy$  with  $d(x) = d(y) = 3$ , or  $d(x) = 2$  and  $d(y) \leq 5$ .*

**Proof.** By Lemma 2.1,  $G$  is 2-connected. By Lemmas 2.2 and 2.3,  $G$  has an outer-1-planar drawing so that its outer boundary forms a hamiltonian cycle. If  $G$  contains no crossing, then the conclusion follows from Lemma 3.1. If  $G$  contains crossings, then it follows from Lemma 3.2.  $\square$

**Corollary 3.7.** *Every maximal outer-1-planar graph of order at least 2 contains an edge  $xy$  with  $d(x) + d(y) \leq 7$ , and the upper bound 7 is the best possible. In other words,  $w(P_2, \mathcal{O}1\mathcal{P}^*) = 7$ .*

**Proof.** Theorem 3.6 directly implies this result, and the sharpness of the upper bound can be confirmed by picture (a) of Fig. 1, where the weight of each edge is at least 7.  $\square$

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