# List edge and list total coloring of 1-planar graphs 

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#### Abstract

A graph is 1-planar if it can be drawn on the plane so that each edge is crossed by at most one other edge. In this paper, it is proved that each 1-planar graph with maximum degree $\Delta$ is $(\Delta+1)$-edge-choosable and $(\Delta+2)$ -total-choosable if $\Delta \geqslant 16$, and is $\Delta$-edge-choosable and ( $\Delta+1$ )-total-choosable if $\Delta \geqslant 21$. The second conclusion confirms the list coloring conjecture for the class of 1-planar graphs with large maximum degree.


Keywords 1-planar graph, list coloring conjecture, discharging MSC 05C10, 05C15

## 1 Introduction

In this paper, all graphs are finite, simple, and undirected. For a graph $G$, denote by $V(G), E(G), \delta(G)$, and $\Delta(G)$ the vertex set, the edge set, the minimum degree, and the maximum degree of $G$, respectively. Let $e(G)=$ $|E(G)|$ and $v(G)=|V(G)|$. For plane graphs, we use $F(G)$ to denote the face set of $G$ and let $f(G)=|F(G)|$. A vertex (resp. face) of degree $k$ is called a $k$-vertex (resp. $k$-face) while a vertex (resp. face) of degree at least $k$ is called a $k^{+}$-vertex (resp. $k^{+}$-face). For undefined concepts we refer the reader to [2].

A graph $G$ is 1-planar if it can be drawn on the plane so that each edge is crossed by at most one other edge. The notion of 1-planar graph was introduced by Ringel [16] while studying the simultaneous vertex-face coloring of plane graphs. Indeed, once we are given a plane graph $G$, a 1-planar graph $G^{\prime}$ can be constructed as follows. First of all, let $V\left(G^{\prime}\right)=V(G) \cup F(G)$. For any two vertices $x, y \in V\left(G^{\prime}\right)$, if their corresponding elements are adjacent or incident in $G$, then we add an edge $x y$ in $G^{\prime}$. For example, the graph $G$ in Fig. 1 is a plane graph with six vertices and five faces, and the graph $G^{\prime}$, which contains eleven vertices, is a 1 -planar graph constructed by the above definition. Note

[^0]

Fig. 1 1-planar graph $G^{\prime}$ is constructed from plane graph $G$
that in $G$ the face $f_{1}$ is incident with three vertices $v_{1}, v_{2}, v_{3}$ and adjacent to two faces $f_{2}, f_{5}$, so in $G^{\prime}$ the vertex $f_{1}$ is of degree five with its neighbors being $v_{1}, v_{2}, v_{3}, f_{2}$ and $f_{5}$. One can find that the vertex-face coloring of the plane graph $G$ is just equivalent to the vertex coloring of the 1-planar graph $G^{\prime}$.

Ringle [16] proved that seven colors suffice both to simultaneously color the vertices and faces of each plane graph and thus to color the vertices of each 1-planar graph, and conjectured that six colors are enough and showed that this bound is best possible if it is true. This conjecture was confirmed by Borodin [3,5] and a list analogue of vertex coloring of 1-planar graphs was investigated by Albertson and Mohar [1], and by Wang and Lih [19]. Borodin [7] also proved that each 1-planar graph is (list) acyclically 20-colorable. Zhang et al. showed that each 1-planar graph $G$ with maximum degree $\Delta$ is edge $\Delta$-colorable if $\Delta \geqslant 10$ [27], or $\Delta \geqslant 9$ and $G$ contains no chordal 5 -cycles [22], or $\Delta \geqslant 8$ and $G$ contains no chordal 4 -cycles [21], or $\Delta \geqslant 7$ and $G$ contains no 3 -cycles [23]. Zhang et al. also showed that the ( $p, 1$ )-total labelling number of each 1-planar graph $G$ is at most $\Delta(G)+2 p-2$ if $\Delta(G) \geqslant 8 p+4$ [29], and the linear arboricity of each 1-planar graph $G$ is exactly $\lceil\Delta(G) / 2\rceil$ if $\Delta(G) \geqslant 33$ [25]. On the other hand, the local structures (including the girth, the lightness, and the embedding, etc.) of 1-planar graphs were extensively studied by many authors including $[6,9,12,17,24,26,28]$. However, comparing to the family of planar graphs, the family of 1-planar graphs is still little explored.

Let

$$
f: E(G) \cup V(G) \rightarrow \mathbb{N}
$$

be a function into positive integers. We say that $G$ is total-f-choosable if, whenever we are given a list $A_{x}$ of colors with $\left|A_{x}\right|=f(x)$ for each $x \in E(G) \cup$ $V(G)$, we can choose a color from $A_{x}$ for each element $x$ such that no two adjacent (incident) elements receive the same color. The list total chromatic index $\chi_{l}^{\prime \prime}(G)$ of $G$ is the smallest integer $k$ such that $G$ is total- $f$-choosable when we assign $f(x)=k$ for each $x \in E(G) \cup V(G)$. The list edge chromatic index $\chi_{l}^{\prime}(G)$ can be defined similarly. As in [2], we use $\chi^{\prime}(G)$ and $\chi^{\prime \prime}(G)$ to denote the ordinary edge and total coloring of a graph $G$, respectively. Obviously, it holds that

$$
\chi_{l}^{\prime}(G) \geqslant \chi^{\prime}(G) \geqslant \Delta(G), \quad \chi_{l}^{\prime \prime}(G) \geqslant \chi^{\prime \prime}(G) \geqslant \Delta(G)+1
$$

As far as list edge and list total coloring are concerned, Vizing, Gupta, Abertson and Collins, and Bollobás and Harris (see [13] for details) independently possed part (a) of the conjecture as follows, which is well known as List Edge Coloring Conjecture. Part (b) of the following conjecture, also known as List Total Coloring Conjecture, was posed by Borodin et al. [8].
Conjecture 1 (List Coloring Conjecture) For any graph $G$,
(a) $\chi_{l}^{\prime}(G)=\chi^{\prime}(G)$;
(b) $\chi_{l}^{\prime \prime}(G)=\chi^{\prime \prime}(G)$.

Although list coloring conjecture has been proved for a few special cases such as outerplanar graphs [18] and planar graphs with maximum degree at least 12 [8], this conjecture remains open.

Combining the well-known Vizing's Theorem and Total Coloring Conjecture as follows with List Coloring Conjecture, Conjecture 3 below is natural but still interesting.
Conjecture 2 (Total Coloring Conjecture) For any graph $G$,

$$
\Delta(G)+1 \leqslant \chi^{\prime \prime}(G) \leqslant \Delta(G)+2 .
$$

Conjecture 3 For any graph $G$,
(a) $\chi_{l}^{\prime}(G) \leqslant \Delta(G)+1$;
(b) $\chi_{l}^{\prime \prime}(G) \leqslant \Delta(G)+2$.

An earlier result of Harris showed that $\chi_{l}^{\prime}(G) \leqslant 2 \Delta(G)-2$ if $G$ is a graph with $\Delta(G) \geqslant 3$ [10]. This result implies (a) of Conjecture 3 for the case $\Delta(G)=$ 3. Juvan et al. settled the case of $\Delta(G)=4$ [15]. For planar graphs, Borodin [4] confirmed (a) of Conjecture 3 for the case $\Delta(G) \geqslant 9$.

Part (b) of Conjecture 3 has been verified for graphs with maximum degree $\Delta(G) \leqslant 3$ and bipartite graphs [14]. Hou, Liu and Wu [11] also showed that it holds for planar graphs with $\Delta(G) \geqslant 9$.

Motivated by the above three conjectures, we consider the case when $G$ is a 1-planar graph with large maximum degree in this paper. The next section is devoted to verify Total Coloring Conjecture and Conjecture 3 for 1-planar graphs with maximum degree at least 16, and to confirm List Coloring Conjecture for 1-planar graphs with maximum degree at least 21 .

## 2 Main results and their proofs

In this section, for a 1-planar graph $G$, we always assume that $G$ has been drawn on a plane so that
(1) every edge is crossed by at most one other edge;
(2) the number of crossings is as small as possible.

The associated plane graph $G^{\times}$of $G$ is the plane graph that is obtained from $G$ by turning all crossings of $G$ into new 4 -vertices. We call the new vertices in
$G^{\times}$crossing vertices. The original edge of $G$ that contains a crossing vertex is called crossing edge. One can easily observe that if $v$ is not a crossing vertex, then

$$
d_{G^{\times}}(v)=d_{G}(v) .
$$

Therefore, in the following, we do not distinguish the two notations $d_{G^{\times}}(v)$ and $d_{G}(v)$ when $v$ is not a crossing vertex, in which case we only use the brief notation $d(v)$ to represent both $d_{G \times} \times(v)$ and $d_{G}(v)$.

To begin with, we display some basic properties of 1-planar graph $G$ and its associated plane graph $G^{\times}$, which were proved by Zhang and Wu [27]. For a vertex $v \in V\left(G^{\times}\right)$, we use $f_{k}(v)$ to denote the number of $k$-faces that are incident with it and use $n_{c}(v)$ to denote the number of crossing vertices that are adjacent to $v$ in $G^{\times}$.

Lemma 1 [27] Let $G$ be a 1-planar graph. Then the following results hold.
(a) For any two crossing vertices $u$ and $v$ in $G^{\times}, u v \notin E\left(G^{\times}\right)$.
(b) If there is a 3-face uvwu in $G^{\times}$such that $d_{G^{\times}}(v)=2$, then $u$ and $w$ are not crossing vertices.
(c) If a 3-vertex $v$ is incident with two 3 -faces and adjacent to two crossing vertices in $G^{\times}$, then $v$ is also incident with a $5^{+}$-face in $G^{\times}$.
(d) There exists no edge $u v$ in $G^{\times}$such that $d_{G^{\times}}(u)=3, v$ is a crossing vertex, and $u v$ is incident with two 3 -faces in $G^{\times}$.
Lemma 2 [27] Let $G$ be a 1-plane graph. Then for every vertex $v \in V(G)$, we have

$$
f_{3}(v)+n_{c}(v) \leqslant \begin{cases}3, & d_{G}(v)=3, f_{3}(v) \neq 2 \\ 4, & d_{G}(v)=3, f_{3}(v)=2 \\ 5, & d_{G}(v)=4 ; \\ \left\lfloor\frac{3 d_{G}(v)}{2}\right\rfloor, & d_{G}(v) \geqslant 5 .\end{cases}
$$

Theorem 1 Let $G$ be a 1-planar graph with maximum degree $\Delta \geqslant 16$. Then

$$
\chi_{l}^{\prime}(G) \leqslant \Delta+1, \quad \chi_{l}^{\prime \prime}(G) \leqslant \Delta+2 .
$$

Proof Let $G$ be a minimal counterexample to the theorem. Then $G$ has the following properties.
(a) $G$ is connected.
(b) $G$ contains no edge $u v$ such that

$$
\min \{d(u), d(v)\} \leqslant\left\lfloor\frac{\Delta+1}{2}\right\rfloor, \quad d(u)+d(v) \leqslant \Delta+2 .
$$

(c) For each integer $3 \leqslant k \leqslant 5$, let

$$
X_{k}=\left\{x \in V(G) \mid d_{G}(x) \leqslant k\right\}, \quad Y_{k}=\bigcup_{x \in X_{k}} N_{G}(x) .
$$

If $X_{k} \neq \emptyset$, then there exists a bipartite subgraph $M_{k}=\left(X_{k}, Y_{k}\right)$ of $G$ such that $d_{M_{k}}(x)=1$ for any $x \in X_{k}$ and $d_{M_{k}}(y) \leqslant k-2$ for any $y \in Y_{k}$. We call $y$ the $k$-master of $x$ if $x y \in M_{k}$ and $x \in X_{k}$.
(a) and (b) are easy to be proved. The proof of (c) is just similar to the one in [20], with only quite a little minor changes. Therefore, we omit it here and refer the reader to [20, Lemma 2.4].

From (b), we deduce that $\delta(G) \geqslant 3$. By (c), each $i$-vertex $(3 \leqslant i \leqslant 5)$ has a $j$-master ( $i \leqslant j \leqslant 5$ ). The concept of $j$-master will be used to define discharging rules in the following proofs.

Now, we apply the discharging method to the associated plane graph $G^{\times}$of $G$ and complete the proof by a contradiction.

Since $G^{\times}$is a plane graph, Euler's formula on $G^{\times}$can be rewritten as

$$
\sum_{v \in V\left(G^{\times}\right)}(d(v)-4)+\sum_{f \in F\left(G^{\times}\right)}(d(f)-4)=-8 .
$$

Define $\operatorname{ch}(x)$ to be the initial charge of $x \in V\left(G^{\times}\right) \cup F\left(G^{\times}\right)$. Let

$$
\operatorname{ch}(v)=d(v)-4, \quad \forall v \in V\left(G^{\times}\right)
$$

and let

$$
\operatorname{ch}(f)=d(f)-4, \quad \forall f \in F\left(G^{\times}\right)
$$

It follows that

$$
\sum_{x \in V\left(G^{\times}\right) \cup F\left(G^{\times}\right)} c h(x)<0 .
$$

In the next, we will reassign a new charge, denoted by $c h^{\prime}(x)$, to each $x \in$ $V\left(G^{\times}\right) \cup F\left(G^{\times}\right)$according to the following discharging rules. Since our rules only move charge around, and do not affect the sum, we have

$$
\sum_{x \in V\left(G^{\times}\right) \cup F\left(G^{\times}\right)} c h^{\prime}(x)=\sum_{x \in V\left(G^{\times}\right) \cup F\left(G^{\times}\right)} c h(x)<0 .
$$

However, we will prove the final charge $c h^{\prime}(x)$ of every element $x \in V\left(G^{\times}\right) \cup$ $F\left(G^{\times}\right)$is nonnegative in what follows. This leads to

$$
\sum_{x \in V\left(G^{\times}\right) \cup F\left(G^{\times}\right)} c h^{\prime}(x) \geqslant 0
$$

a contradiction.
A vertex $v$ in $G$ is small if $d(v) \leqslant 7$ and is big if $d(v) \geqslant 8$. A 3 -face in $G^{\times}$is of type one if it is incident with one crossing vertex, one small vertex, and one big vertex, and is of type two otherwise. Note that if $f$ is a type two 3 -face, then $f$ shall be incident with at least two big vertices because no two small vertices are adjacent in $G$ by Lemma 1 (b) and no two crossing vertices are adjacent in $G^{\times}$by Lemma 1 (a). Our discharging rules are defined as follows.

Rule 1.1 Each 3-vertex in $G$ receives $1 / 3$ from its 3 -master, $2 / 3$ from its 4 -master, $1 / 3$ from its 5 -master, and sends $1 / 3$ to each type one 3 -face incident with it in $G^{\times}$.
Rule 1.2 Each 4 -vertex in $G$ receives $2 / 3$ from its 4 -master, $1 / 3$ from its 5 -master, and sends $1 / 3$ to each type one 3 -face incident with it in $G^{\times}$.
Rule 1.3 Each 5 -vertex in $G$ receives $1 / 3$ from its 5 -master and sends $1 / 3$ to each type one 3 -face incident with it in $G^{\times}$.
Rule 1.4 Each 6 -vertex in $G$ sends $1 / 3$ to each type one 3 -face incident with it in $G^{\times}$.
Rule 1.5 Each 7 -vertex in $G$ sends $1 / 2$ to each type one 3 -face incident with it in $G^{\times}$.
Rule 1.6 Each $d$-vertex in $G(8 \leqslant d \leqslant \Delta-4)$ sends $1 / 2$ to each 3-face incident with it in $G^{\times}$.
Rule 1.7 Each $d$-vertex in $G(\Delta-3 \leqslant d \leqslant \Delta)$ sends $2 / 3$ to each type one 3 -face and $1 / 2$ to each type two 3 -face incident with it in $G^{\times}$.
Rule 1.8 Each $k$-face $(k \geqslant 5)$ in $G^{\times}$sends $(k-4) / t(f)$ to each 3 -vertex incident with it, where $t(f)$ is the number of 3 -vertices incident with face $f$.

Clearly, if $d(f)=4$, then

$$
\operatorname{ch}^{\prime}(f)=\operatorname{ch}(f)=d(f)-4=0,
$$

and if $d(f) \geqslant 5$, then

$$
c h^{\prime}(f) \geqslant \operatorname{ch}(f)-t(f) \frac{d(f)-4}{t(f)}=0
$$

by Rule 1.8. Now, we shall consider the initial charges of 3 -faces. First, suppose that $f$ is of type one. Then by (b), if the small vertex $v$ incident with it is of degree $i(3 \leqslant i \leqslant 7)$, then $f$ is also incident with one crossing vertex and one big vertex of degree at least $\Delta+3-i$. Therefore, by Rules 1.1-1.7, $f$ receives at least 1 from the vertices incident with it and sends out none. Thus,

$$
c h^{\prime}(f) \geqslant c h(f)+1=0 .
$$

Suppose that $f$ is of type two, i.e., $f$ is incident with at least two big vertices. By Rules 1.6 and 1.7, each big vertex sends $1 / 2$ to each type two 3 -face incident with it, and thus, $f$ receives at least $2 \times 1 / 2=1$. Note that $f$ sends out none. Therefore,

$$
c h^{\prime}(f) \geqslant c h(f)+1=0 .
$$

Now, we check the initial charge of the vertex $v \in V\left(G^{\times}\right)$with $d_{G^{\times}}(v)=d$. Recall that the vertices of $G^{\times}$except the crossing ones are just the vertices of $G$. Suppose $d=3$. Then $v$ has one 3 -master, one 4 -master, and one 5 -master. Therefore, $v$ receives totally $4 / 3$ from its masters by Rule 1.1. If $n_{c}(v)=3$, then $f_{3}(v)=0$ by Lemma 2 , and then $v$ sends out none. Therefore,

$$
c h^{\prime}(v)=\operatorname{ch}(v)+\frac{4}{3}>0 .
$$

If $n_{c}(v)=2$, then by Lemma $1(\mathrm{a}), f_{3}(v) \leqslant 2$. If $f_{3}(v) \leqslant 1$, then

$$
c h^{\prime}(v)=\operatorname{ch}(v)+\frac{4}{3}-\frac{1}{3}=0
$$

If $f_{3}(v)=2$, then by Lemma 1 (c), $v$ must be incident with a $5^{+}$-face $f$. It follows that $v$ receives at least $1 / 2$ from $f$ by Rule 1.8. Therefore,

$$
\operatorname{ch}^{\prime}(v)=\operatorname{ch}(v)+\frac{4}{3}-\frac{2}{3}+\frac{1}{2}>0
$$

If $n_{c}(v) \leqslant 1$, then by Lemma $1(\mathrm{~d}), v$ is incident with at most one type one 3 -face. Thus, by R1.1, we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)+\frac{4}{3}-\frac{1}{3}=0
$$

Suppose $d=4$. If $v$ is a crossing vertex, then

$$
c h^{\prime}(v)=c h(v)=0
$$

If not, then by Lemma $2, v$ is incident with at most three type one 3 -faces. Note that $v$ have a 4 -master and a 5 -master. Therefore, by Rule 1.2, we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-3 \times \frac{1}{3}+\frac{2}{3}+\frac{1}{3}=0 .
$$

Suppose $d=5$. Then $v$ is incident with at most four type one 3 -faces by Lemma 2. Note that $v$ has a 5 -master. Therefore, we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-4 \times \frac{1}{3}+\frac{1}{3}=0
$$

by Rule 1.3. Suppose $d=6$. Then we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-6 \times \frac{1}{3}=0
$$

by Rule 1.4. Suppose $d=7$. Then $v$ is incident with at most six type one 3 -faces by Lemma 2. Therefore, we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-6 \times \frac{1}{2}=0
$$

by Rule 1.5. Suppose

$$
8 \leqslant d \leqslant \Delta-4
$$

Then by Rule 1.6, we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{d(v)}{2}=\frac{d(v)-8}{2} \geqslant 0 .
$$

Suppose $d=\Delta-3$. Then by Rule 1.7, we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2 d(v)}{3}=\frac{\Delta-15}{3} \geqslant 0 .
$$

In the following, we will deal with the last three cases when $\Delta-2 \leqslant d \leqslant \Delta$ much more carefully since the vertices of such a degree may give out charges as masters of some vertices. A fan $F=\left[u ; v_{1}, v_{2}, \ldots, v_{n}\right]$ is a graph with

$$
V(F)=\left\{u, v_{1}, v_{2}, \ldots, v_{n}\right\}, \quad E(F)=\left\{u v_{1}, u v_{2}, \ldots, u v_{n}, v_{1} v_{2}, \ldots, v_{n-1} v_{n}\right\} .
$$

We first show two claims.
Claim 1 There is no fan $F=[v ; x, y, z]$ in $G^{\times}$such that $x, z$ are small vertices and $y$ is a crossing vertex.
Proof Suppose, to be contrary, that such a fan $F$ exists. Since the ordinary graph $G$ is simple and $y$ is a crossing vertex in $G^{\times}, x z$ must be a crossing edge in $G$. But both $x$ and $z$ are small vertices, this contradicts the fact that no two small vertices are adjacent in $G$.
Claim 2 Each big vertex $v$ in $G^{\times}$is incident with at most $\left\lceil f_{3}(v) / 2\right\rceil+1$ type one 3 -faces if $f_{3}(v)=d(v)-2$, at most $\left\lceil f_{3}(v) / 2\right\rceil$ type one 3 -faces if $f_{3}(v)=d(v)-1$, and at most $\left\lfloor f_{3}(v) / 2\right\rfloor$ type one 3 -faces if $f_{3}(v)=d(v)$.
Proof Otherwise, there must exist three consecutive type one 3 -faces that are incident with $v$. Denote those three 3 -faces by $v v_{1} v_{2}, v v_{2} v_{3}$, and $v v_{3} v_{4}$, respectively. Then $F_{1}=\left[v ; v_{1}, v_{2}, v_{3}\right]$ and $F_{2}=\left[v ; v_{2}, v_{3}, v_{4}\right]$ are two fans. By the definition of type one 3 -face and Lemma 1 (a), one of $F_{1}$ and $F_{2}$ must be the fan described in Claim 1, a contradiction.

Suppose $d=\Delta-2$. Then by (b), for any small vertex $u$ such that $u v \in$ $E\left(G^{\times}\right)$, we have $d(u) \geqslant 5$. Therefore, $v$ can be 5 -master of at most three vertices by (c). Thus, $v$ sends out at most $3 \times 1 / 3=1$ as masters of some vertices by Rule 1.3. If $f_{3}(v) \leqslant d(v)-2$, then we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3} f_{3}(v)-1 \geqslant \frac{\Delta-13}{3}>0 .
$$

If $f_{3}(v) \geqslant d(v)-1$, then by Claim 2 and Rule 1.7, we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3}\left\lceil\frac{f_{3}(v)}{2}\right\rceil-\frac{1}{2}\left(f_{3}(v)-\left\lceil\frac{f_{3}(v)}{2}\right\rceil\right)-1 \geqslant \frac{5 \Delta-71}{12}>0 .
$$

Suppose $d=\Delta-1$. Then by (b), for any small vertex $u$ such that $u v \in E\left(G^{\times}\right)$, we have $d(u) \geqslant 4$. Therefore, $v$ can be 4 -master of at most two vertices and 5 -master of at most three vertices by (c). Thus, $v$ sends out at most

$$
2 \times \frac{2}{3}+3 \times \frac{1}{3}=\frac{7}{3}
$$

as masters of some vertices by Rules 1.2 and 1.3. By Claim 2 and Rule 1.7, if $f_{3}(v) \leqslant d(v)-2$, then

$$
c^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3} f_{3}(v)-\frac{7}{3} \geqslant \frac{\Delta-16}{3} \geqslant 0
$$

if $f_{3}(v)=d(v)-1$, then

$$
c h^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3}\left\lceil\frac{f_{3}(v)}{2}\right\rceil-\frac{1}{2}\left(f_{3}(v)-\left\lceil\frac{f_{3}(v)}{2}\right\rceil\right)-\frac{7}{3} \geqslant \frac{5 \Delta-75}{12}>0
$$

and if $f_{3}(v)=d(v)$, we still have

$$
\begin{aligned}
\operatorname{ch}^{\prime}(v) & \geqslant \operatorname{ch}(v)-\frac{2}{3}\left\lfloor\frac{f_{3}(v)}{2}\right\rfloor-\frac{1}{2}\left(f_{3}(v)-\left\lfloor\frac{f_{3}(v)}{2}\right\rfloor\right)-\frac{7}{3} \\
& \geqslant \frac{3 \Delta-\lfloor(\Delta-1) / 2\rfloor-41}{6} \\
& \geqslant 0
\end{aligned}
$$

since $\Delta \geqslant 16$. Suppose $d=\Delta$. Then by (b), for any small vertex $u$ such that $u v \in E\left(G^{\times}\right)$, we have $d(u) \geqslant 3$. Therefore, $v$ can be 3 -master of at most one vertex, 4-master of at most two vertices, and 5 -master of at most three vertices by (c). Thus, $v$ sends out at most

$$
\frac{1}{3}+2 \times \frac{2}{3}+3 \times \frac{1}{3}=\frac{8}{3}
$$

as masters of some vertices by Rules 1.2 and 1.3. If $f_{3}(v) \leqslant d(v)-2$, then we have

$$
c^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3} f_{3}(v)-\frac{8}{3} \geqslant \frac{\Delta-16}{3} \geqslant 0
$$

if $f_{3}(v)=d(v)-1$, then by Claim 2, we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3}\left\lceil\frac{f_{3}(v)}{2}\right\rceil-\frac{1}{2}\left(f_{3}(v)-\left\lceil\frac{f_{3}(v)}{2}\right\rceil\right)-\frac{8}{3} \geqslant \frac{5 \Delta-74}{12}>0
$$

by Rule 1.7 ; if $f_{3}(v)=d(v)$, then we still have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3}\left\lfloor\frac{f_{3}(v)}{2}\right\rfloor-\frac{1}{2}\left(f_{3}(v)-\left\lfloor\frac{f_{3}(v)}{2}\right\rfloor\right)-\frac{8}{3} \geqslant \frac{5 \Delta-80}{12} \geqslant 0
$$

by Claim 2 and R1.7, since $\Delta \geqslant 16$.
Theorem 2 Let $G$ be a 1-planar graph with maximum degree $\Delta \geqslant 21$. Then

$$
\chi_{l}^{\prime}(G)=\Delta, \quad \chi_{l}^{\prime \prime}(G)=\Delta+1
$$

Proof Let $G$ be a minimal counterexample to the theorem. Then $G$ has the following properties.
(a) $G$ is connected.
(b) $G$ contains no edge $u v$ such that

$$
\min \{d(u), d(v)\} \leqslant\left\lfloor\frac{\Delta}{2}\right\rfloor, \quad d(u)+d(v) \leqslant \Delta+1 .
$$

(c) For each integer $2 \leqslant k \leqslant 5$, let

$$
X_{k}=\left\{x \in V(G) \mid d_{G}(x) \leqslant k\right\}, \quad Y_{k}=\bigcup_{x \in X_{k}} N_{G}(x) .
$$

If $X_{k} \neq \emptyset$, then there exists a bipartite subgraph $M_{k}=\left(X_{k}, Y_{k}\right)$ of $G$ such that $d_{M_{k}}(x)=1$ for any $x \in X_{k}$ and $d_{M_{k}}(y) \leqslant k-1$ for any $y \in Y_{k}$. We also call $y$ the $k$-master of $x$ if $x y \in M_{k}$ and $x \in X_{k}$.
(a) and (b) are easy to be proved. The proof of (c) can be found in [20].

It is also easy to see from (b) that $\delta(G) \geqslant 2$. By (c), each $i$-vertex $(2 \leqslant i \leqslant 5)$ has one $j$-master ( $i \leqslant j \leqslant 5$ ).

Now, we also apply the discharging method to the associated plane graph $G^{\times}$of $G$ and complete the proof by a contradiction.

Since $G^{\times}$is a plane graph, by Euler's formula, we have

$$
\sum_{v \in V\left(G^{\times}\right)}(d(v)-4)+\sum_{f \in F\left(G^{\times}\right)}(d(f)-4)=-8
$$

Here, we define the initial charge of $x \in V\left(G^{\times}\right) \cup F\left(G^{\times}\right)$, small vertex, big vertex, type one 3 -face, and type two 3 -face just as the same as we defined in the proof of Theorem 1, respectively. Our discharging rules are redefined as follows.
Rule 2.1 Each 2-vertex in $G$ receives $2 / 3$ from its 2 -master, $1 / 3$ from its 3 -master, $2 / 3$ from its 4 -master, and $1 / 3$ from its 5 -master.
Rule 2.2 Each 3 -vertex in $G$ receives $1 / 3$ from its 3 -master, $2 / 3$ from its 4 -master, $1 / 3$ from its 5 -master, and sends $1 / 3$ to each type one 3 -face incident with it in $G^{\times}$.
Rule 2.3 Each 4-vertex in $G$ receives $2 / 3$ from its 4 -master, $1 / 3$ from its 5 -master, and sends $1 / 3$ to each type one 3 -face incident with it in $G^{\times}$.
Rule 2.4 Each 5 -vertex in $G$ receives $1 / 3$ from its 5 -master and sends $1 / 3$ to each type one 3 -face incident with it in $G^{\times}$.
Rule 2.5 Each 6 -vertex in $G$ sends $1 / 3$ to each type one 3 -face incident with it in $G^{\times}$.
Rule 2.6 Each 7 -vertex in $G$ sends $1 / 2$ to each type one 3 -face incident with it in $G^{\times}$.
Rule 2.7 Each $d$-vertex in $G(8 \leqslant d \leqslant \Delta-5)$ sends $1 / 2$ to each 3-face incident with it in $G^{\times}$.
Rule 2.8 Each $d$-vertex in $G(\Delta-4 \leqslant d \leqslant \Delta)$ sends $2 / 3$ to each type one 3 -face and $1 / 2$ to each type two 3 -face incident with it in $G^{\times}$.

Rule 2.9 Each $k$-face in $G^{\times}(k \geqslant 5)$ sends $(k-4) / t(f)$ to each 3-vertex incident with it, where $t(f)$ is the number of 3 -vertices incident with face $f$.

By the similar means as we applied in the proof of Theorem 1, we can check that $c h^{\prime}(f) \geqslant 0$ for every face $f \in F\left(G^{\times}\right)$(here we shall in particular note that each 2-vertex is not incident with any type one face in $G^{\times}$by Lemma 1 (b)) and $c h^{\prime}(v) \geqslant 0$ for every vertex $v \in V\left(G^{\times}\right)$with $3 \leqslant d(v) \leqslant \Delta-5$. Note that Claims 1 and 2 in the proof of Theorem 1 are also available here. In the following, we just need to check that $c h^{\prime}(v) \geqslant 0$ for each vertex $v$ with

$$
d(v) \in\{2, \Delta-4, \Delta-3, \Delta-2, \Delta-1, \Delta\}
$$

Let $v$ be a 2 -vertex. By (c), $v$ has a $j$-master, where $2 \leqslant j \leqslant 5$. Then we have

$$
c h^{\prime}(v)=\operatorname{ch}(v)+\frac{2}{3}+\frac{1}{3}+\frac{2}{3}+\frac{1}{3}=0
$$

by Rule 2.1. Let $v$ be a $(\Delta-4)$-vertex. Then by (b), for any small vertex $u$ such that $u v \in E\left(G^{\times}\right)$, we have $d(u) \geqslant 6$. Therefore, $v$ cannot send out charges as masters of some vertices by Rules 2.1-2.4. Therefore,

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3} f_{3}(v) \geqslant \frac{\Delta-16}{3}>0
$$

by Rule 2.8. Let $v$ be a $(\Delta-3)$-vertex. Then by (b), for any small vertex $u$ such that $u v \in E\left(G^{\times}\right)$, we have $d(u) \geqslant 5$. Therefore, $v$ can be 5 -master of at most four vertices by (c). Thus, $v$ sends out at most $4 \times 1 / 3=4 / 3$ as masters of some vertices by Rules 2.1-2.4. Therefore,

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3} f_{3}(v)-\frac{4}{3} \geqslant \frac{\Delta-19}{3}>0
$$

by Rule 2.8. Let $v$ be a $(\Delta-2)$-vertex. Then by (b), for any small vertex $u$ such that $u v \in E\left(G^{\times}\right)$, we have $d(u) \geqslant 4$. Therefore, $v$ can be 4 -master of at most three vertices and 5 -master of at most four vertices by (c). Thus, $v$ sends out at most

$$
3 \times \frac{2}{3}+4 \times \frac{1}{3}=\frac{10}{3}
$$

as masters of some vertices by Rules $2.1-2.4$. If $f_{3}(v) \leqslant d(v)-2$, then we have

$$
c^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3} f_{3}(v)-\frac{10}{3} \geqslant \frac{\Delta-20}{3}>0
$$

if $f_{3}(v) \geqslant d(v)-1$, then by Claim 2 in the proof of Theorem 1 , we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3}\left\lceil\frac{f_{3}(v)}{2}\right\rceil-\frac{1}{2}\left(f_{3}(v)-\left\lceil\frac{f_{3}(v)}{2}\right\rceil\right)-\frac{10}{3} \geqslant \frac{5 \Delta-89}{12}>0
$$

by Rule 2.8. Let $v$ be a $(\Delta-1)$-vertex. Then by (b), for any small vertex $u$ such that $u v \in E\left(G^{\times}\right)$, we have $d(u) \geqslant 3$. Therefore, $v$ can be 3 -master of at
most two vertices, 4-master of at most three vertices, and 5-master of at most four vertices by (c). Thus, $v$ sends out at most

$$
2 \times \frac{1}{3}+3 \times \frac{2}{3}+4 \times \frac{1}{3}=4
$$

as masters of some vertices by Rules $2.1-2.4$. If $f_{3}(v) \leqslant d(v)-2$, then we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3} f_{3}(v)-4 \geqslant \frac{\Delta-21}{3} \geqslant 0
$$

if $f_{3}(v) \geqslant d(v)-1$, then by Claim 2 in the proof of Theorem 1 , we also have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3}\left\lceil\frac{f_{3}(v)}{2}\right\rceil-\frac{1}{2}\left(f_{3}(v)-\left\lceil\frac{f_{3}(v)}{2}\right\rceil\right)-4 \geqslant \frac{5 \Delta-97}{12}>0
$$

by Rule 2.8. Let $v$ be a $\Delta$-vertex. Then by (b), for any small vertex $u$ such that $u v \in E\left(G^{\times}\right)$, we have $d(u) \geqslant 2$. Therefore, $v$ can be 2 -master of at most one vertex, 3 -master of at most two vertices, 4 -master of at most three vertices, and 5 -master of at most four vertices by (c). Thus, $v$ sends out at most

$$
\frac{2}{3}+2 \times \frac{1}{3}+3 \times \frac{2}{3}+4 \times \frac{1}{3}=\frac{14}{3}
$$

as masters of some vertices by Rules 2.1-2.4. If $f_{3}(v) \leqslant d(v)-3$, then we have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3} f_{3}(v)-\frac{14}{3} \geqslant \frac{\Delta-20}{3}>0
$$

if

$$
d(v)-2 \leqslant f_{3}(v) \leqslant d(v)-1
$$

then by Claim 2 in the proof of Theorem 1, we have

$$
\begin{aligned}
c h^{\prime}(v) & \geqslant c h(v)-\frac{2}{3}\left(\left\lceil\frac{f_{3}(v)}{2}\right\rceil+1\right)-\frac{1}{2}\left(f_{3}(v)-\left\lceil\frac{f_{3}(v)}{2}\right\rceil-1\right)-\frac{14}{3} \\
& \geqslant \frac{5 \Delta-100}{12} \\
& >0
\end{aligned}
$$

by Rule 2.8; if $f_{3}(v)=d(v)$, then we still have

$$
\operatorname{ch}^{\prime}(v) \geqslant \operatorname{ch}(v)-\frac{2}{3}\left\lfloor\frac{f_{3}(v)}{2}\right\rfloor-\frac{1}{2}\left(f_{3}(v)-\left\lfloor\frac{f_{3}(v)}{2}\right\rfloor\right)-\frac{14}{3} \geqslant \frac{5 \Delta-104}{12}>0
$$

by Rule 2.8. Therefore,

$$
\sum_{x \in V\left(G^{\times}\right) \cup F\left(G^{\times}\right)} c h(x)=\sum_{x \in V\left(G^{\times}\right) \cup F\left(G^{\times}\right)} c h^{\prime}(x)>0 .
$$

This contradiction completes the proof of the theorem.

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