

# Principles of Communications

## Chapter III: Analog Modulation System

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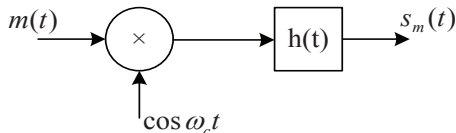
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# Introduction

## Purposes and Classification

- ▶ Frequency spectrum of baseband signal can be moved near the desired frequency and we can combine several signals for multichannel transmission.
- ▶ The anti-jamming ability for the signal transferred through channels can be improved by modulations.
- ▶ Modulation mode affects the utilization of transmission bandwidth.
- ▶ A cosine waveform is mathematically expressed as  $c(t) = A \cos(\omega_c t + \varphi)$ . Modulation enable parameter  $A$ ,  $\omega_c$ , and  $\varphi$  vary with the baseband signal.
  - ▶ Amplitude modulation: Linear Modulation.
  - ▶ Frequency/phase modulation: Nonlinear Modulation.
  - ▶ Question: What is the difference between the Linear Modulation and the Nonlinear Modulation?

# Linear Modulation

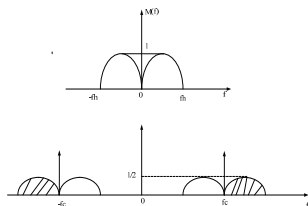
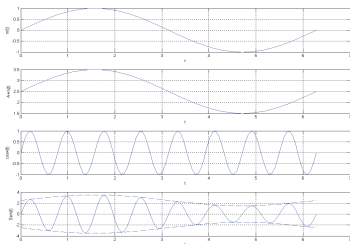


- ▶ Temporal expression:  $s_m(t) = [m(t) \cos \omega_c t] * h(t)$ .
- ▶ Frequency domain expression:  
$$S_m(f) = \frac{1}{2}[M(f - f_c) + M(f + f_c)]H(f).$$
- ▶ According to the setting  $H(f)$  and the characteristic, linear modulation can be divided as: AM, DSB-SC, SSB, and VSB.

# AM

Signal  $m(t)$ ,  $[0, f_H]$  consists of direct component A and alternating component  $m'(t)$ . And  $H(f) = 1$  allows the signal pass through without distortion.

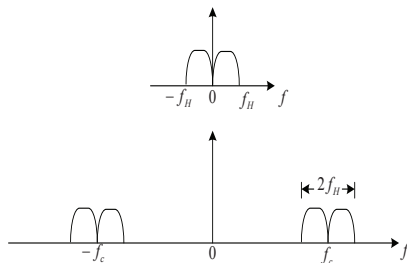
- ▶ Temporal expression:  $s_m(t) = [A + m'(t)] \cos \omega_c t$  and  $A > \max_t |m'(t)|$ .
- ▶ Frequency domain expression:  
$$S(f) = \frac{1}{2}[M(f - f_c) + M(f + f_c)] + A\pi[\delta(f - f_c) + \delta(f + f_c)].$$
- ▶  $B = 2f_H$ .  $P = \frac{A^2}{2} + \frac{|m'(t)|^2}{2}$ . DC component doesn't carry any information and power efficiency is low.



# DSB-SC: Double-sideband Modulation - Suppressed Carrier

Signal  $m(t)$  is only with alternating component. And  $H(f) = 1$  allows the signal pass through without distortion.

- ▶ Temporal expression:  $s_m(t) = m(t) \cos \omega_c t$ .
- ▶ Frequency domain expression:  
$$S_m(f) = \frac{1}{2}[M(f - f_c) + M(f + f_c)].$$
- ▶  $B = 2f_H$ . Two sidebands (Upper-side and Lower-side) in DSB modulation contain the same information. (Frequency band efficiency is low.)



# SSB/VSB

Signal  $m(t)$  is only with alternating component. And  $H(f)$  are low-pass filter or high-pass filter to allow only the upper-side or the lower-side signal pass through.

- ▶ Temporal expression:  $s_m(t) = m(t) \cos \omega_c t \mp \hat{m}(t) \sin \omega_c t$ .
- ▶  $\hat{m}(t)$  is the Hilbert transform of  $m(t)$ . Its definition is

$$\hat{M}(\omega) = -j \operatorname{sgn}(\omega) M(\omega) \text{ and } \operatorname{sgn}(\omega) = \begin{cases} 1, & \omega > 0 \\ -1, & \omega < 0 \end{cases}.$$

$$\begin{aligned} 2S_m(f) &= [M(\omega + \omega_c) + M(\omega - \omega_c)] - j[\hat{M}(\omega + \omega_c) - \hat{M}(\omega - \omega_c)] \\ &= [M(\omega + \omega_c) + M(\omega - \omega_c)] \\ &\quad - [\operatorname{sgn}(\omega + \omega_c)M(\omega + \omega_c) - \operatorname{sgn}(\omega - \omega_c)M(\omega - \omega_c)] \\ &= M(\omega + \omega_c)[1 - \operatorname{sgn}(\omega + \omega_c)] \\ &\quad + M(\omega - \omega_c)[1 + \operatorname{sgn}(\omega - \omega_c)] \\ &= S(\omega)H(\omega) - \text{high-pass filter} \end{aligned}$$

Similar derivations for lower-side case.

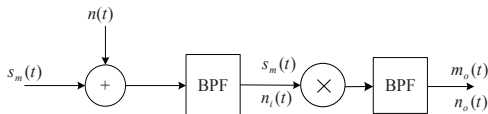
$$\sin \omega_c t \leftrightarrow j\pi[\delta(\omega + \omega_c) - \delta(\omega - \omega_c)].$$

- ▶  $B = f_H$ .
- ▶ Generate SSB signal: Filtering or Hilbert transform. (not easy)

## VSB

If  $H(f + f_c) + f(f - f_c) = c$ ,  $|f| < f_H$ , the receiver can recover the baseband signal without distortion.

# Coherent Demodulation



Assume that the modulation is AM.

- ▶ Step 1. Signal:  $s_m(t) = (A + m(t)) \cos \omega_c t$ ;  
Noise:  $n_i(t) = n_c(t) \cos \omega_c t - n_s(t) \sin \omega_c t$ .
- ▶ Step 2. Signal:

$$\begin{aligned} s_m(t) \cos \omega_c t &= (A + m(t)) \cos^2 \omega_c t \\ &= \frac{1}{2} A^2 + \frac{1}{2} m(t) + \frac{1}{2} (A + m(t)) \cos 2\omega_c t \xrightarrow{LPF} \frac{1}{2} A^2 + \frac{1}{2} m(t). \end{aligned}$$

Noise:

$$\begin{aligned} n_i(t) \cos \omega_c t &= \frac{1}{2} n_c(t) + \frac{1}{2} n_c(t) \cos 2\omega_c t - \frac{1}{2} n_s(t) \cos 2\omega_c t \\ &\xrightarrow{LPF} \frac{1}{2} n_c(t). \end{aligned}$$



- ▶ Output SNR (Signal Noise Ratio):

$$\frac{S_o}{N_o} = \frac{\overline{m^2(t)}}{\sigma^2} = \frac{\overline{m^2(t)}}{n_0 B}.$$

- ▶ Modulation Gain:  $G = \frac{S_o/N_o}{S_i/N_i}$

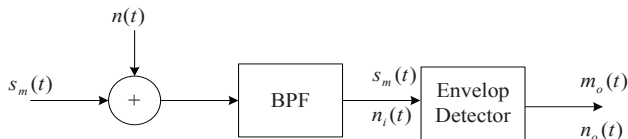
$$\frac{S_i}{N_i} = \frac{\frac{1}{2}(A^2 + \overline{m^2(t)})}{\sigma^2} = \frac{\frac{1}{2}(A^2 + \overline{m^2(t)})}{n_0 B}$$

$$G = \frac{S_o/N_o}{S_i/N_i} = \frac{2\overline{m^2(t)}}{A^2 + \overline{m^2(t)}},$$

$$G_{\max} = \frac{2}{3} \quad (m(t) = A \cos \omega_c t)$$

- ▶ Can we apply the coherent scheme to DSB and SSB?

# Non-coherent Demodulation



Assume that  $s_m(t) = [A + m(t)] \cos \omega_c t$ ,  $A \geq |m(t)|_{\max}$ , and noise  $n_i(t) = n_c(t) \cos \omega_c t - n_s(t) \sin \omega_c t$ . We have

$$\begin{aligned} s_m(t) + n_i(t) &= [A + m(t) + n_c(t)] \cos \omega_c t - n_s(t) \sin \omega_c t \\ &= E(t) \cos[\omega_c t + \varphi(t)]. \end{aligned}$$

where

$$\begin{aligned} E(t) &= \sqrt{[A + m(t) + n_c(t)]^2 + n_s(t)^2} \\ &= \sqrt{[A + m(t)]^2 + 2n_c(t)[A + m(t)] + n_c(t)^2 + n_s(t)^2}. \end{aligned}$$

# Non-coherent Demodulation

- ▶ For the case of  $(A + m(t))^2 \gg n_c(t)^2 + n_s(t)^2$ ,
  - ▶  $E(t) \approx A + m(t) + n_c(t)$
  - ▶  $\frac{S_o}{N_o} = \frac{\overline{m^2(t)}}{n_c^2(t)} = \frac{\overline{m^2(t)}}{n_o B}$ .
  - ▶  $G_{AM} = \frac{\overline{m^2(t)}}{A^2 + \overline{m^2(t)}}$ . It is the same to coherent demodulation
- ▶ For the case of  $(A + m(t))^2 \gg n_c(t)^2 + n_s(t)^2$ ,

$$E(t) \approx R(t) + [A + m(t)] \cos \theta$$

, where  $R(t) = \sqrt{n_c^2(t) + n_s^2(t)}$  and  $\cos \theta = \frac{n_c(t)}{R(t)}$ .

- ▶ Threshold effect: when the input  $\frac{S_i}{N_i}$  decreases to some special value (threshold), the output  $\frac{S_o}{N_o}$  decreases dramatically. The phenomenon is named as “threshold effect”.
- ▶ Can we apply the non-coherent scheme to the DSB or SSB?

# Nonlinear Modulation – Basic Concepts

Parameters:  $S_m(t) = A \cos[\omega_c t + \varphi(t)]$

- ▶  $\omega_c t + \varphi(t)$  – instant phase.
- ▶  $\varphi(t)$  – instant phase shift with respect to  $\omega_c t$ .
- ▶  $\frac{d[\omega_c t + \varphi(t)]}{dt}$  – instant angular frequency.
- ▶  $\frac{d\varphi(t)}{dt}$  – instant angular frequency shift with respect to  $\omega_c$ .
- ▶ Phase modulation (PM): For any  $t$ ,  $\varphi(t)$  is proportional to the signal  $m(t)$ , i.e.,  $\varphi(t) = K_p m(t)$  and

$$s_{PM}(t) = A \cos[\omega_c t + K_p m(t)].$$

- ▶ Frequency modulation (FM):  $\frac{d\varphi(t)}{dt}$  is proportional to the signal  $m(t)$ , i.e.,  $\frac{d\varphi(t)}{dt} = K_f m(t)$ . So we have

$$s_{PM}(t) = A \cos \left[ \omega_c t + \int_{-\infty}^t K_f m(\tau) d\tau \right].$$

## Narrowband FM and Wideband FM

Assume that modulating signal  $m(t) = A_m \cos \omega_H t$ . The corresponding FM signal is

$$\begin{aligned}s_{FM}(t) &= A \cos \left[ \omega_c t + \int_{-\infty}^t K_f A_m \cos \omega_H \tau d\tau \right] \\ &\approx A \cos \left[ \omega_c t + \frac{K_f A_m}{\omega_H} \sin \omega_H t \right]\end{aligned}$$

- ▶ The maximum instant angular frequency shift  $\Delta f$  with respect to  $\omega_c$  is  $K_f A_m$ .
- ▶ Define the **modulation index** as  $m_f = \frac{K_f A_m}{\omega_H} = \frac{\Delta f}{f_H}$ .
- ▶ For signal  $m(t)$   $[0, f_H]$ , its corresponding bandwidth could be roughly regarded as  $B_{FM} = 2(m_f + 1)f_H$ . (Every frequency component  $f_H$  could be shifted to  $f_H + \Delta f$ )
  - ▶ Narrowband FM ( $m_f \ll 1$ ):  $B_{FM} \approx 2f_H$ .
  - ▶ Wideband FM ( $m_f \gg 1$ ):  $B_{FM} \approx 2m_f f_H = 2\Delta f$ .
- ▶ Generally, one can find that the anti-noise performance of the system is better while  $m_f$ , or  $B_{FM}$ , is bigger.

# Comparisons

Table: Comparisons of the Different Analog Modulation

Mods	Frequency Band	Anti-noise	Complexity	Applications
AM	$2f_H$	not good	simple	Medium/short wave broadcasting
DSB	$2f_H$	medium	medium	—
SSB	$f_H$	medium	complex	Short wave broadcasting, audio
VSB	$[f_H, 2f_H]$	medium	medium	TV broadcasting
FM	$2(m_f + 1)f_H$	good	medium	Audio broadcasting

  $G_{AM} = \frac{2}{3}, G_{DSB} = 2, G_{SSB} = 1, G_{FM} = 3m_f^2(m_f + 1).$   
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